







GUIDELINES ON THE IMPLEMENTATION OF "P" AND "N" CARING PROGRAMME

Disclaimer

Whilst reasonable efforts have been made to ensure the accuracy of the information contained in this publication, the CIC nevertheless would encourage readers to seek appropriate independent advice from their professional advisers where possible and readers should not treat or rely on this publication as a substitute for such professional advice for taking any relevant actions.

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Preface

The Construction Industry Council (CIC) is committed to seeking continuous improvement in all aspects of the construction industry in Hong Kong. To achieve this aim, the CIC forms Committees, Task Forces and other forums to review specific areas of work with the intention of producing Alerts, Reference Materials, Guidelines and Codes of Conduct to assist participants in the industry to strive for excellence.

The CIC appreciates that some improvements and practices can be implemented immediately whilst others may take more time to adjust. It is for this reason that four separate categories of publication have been adopted, the purposes of which are as follows:

Alerts Reminders in the form of brief leaflets produced

quickly to draw the immediate attention of relevant stakeholders the need to follow some good practices or to implement some preventative measures in

relation to the construction industry.

Reference Reference Materials for adopting standards or Materials methodologies in such ways that are generally

methodologies in such ways that are generally regarded by the industry as good practices. The CIC recommends the adoption of these Reference

Materials by industry stakeholders where appropriate.

Guidelines The CIC expects all industry participants to adopt the

recommendations set out in such Guidelines and to adhere to such standards or procedures therein at all times. Industry participants are expected to be able to justify any course of action that deviates from those

recommendations.

Codes of Conduct Under the Construction Industry Council Ordinance

(Cap 587), the CIC is tasked to formulate codes of conduct and enforce such codes. The Codes of Conduct issued by the CIC set out the principles that all relevant industry participants should follow. The CIC may take necessary actions to ensure the

compliance with the Codes.

If you have attempted to follow this publication, we do encourage you to share your feedback with us. Please take a moment to fill out the Feedback Form attached to this publication in order that we can further enhance it for the benefit of all concerned. With our joint efforts, we believe our construction industry will develop further and will continue to prosper for years to come.

Terminology

In this document, unless the context otherwise requires:

CIC Construction Industry Council

LD Labour Department MC Main Contractor

"N" Newcomer

OSHC Occupational Safety and Health Council

"P" Probationer SC Subcontractor

1. Purpose

1.1 This publication sets out the good practices recommended by the Construction Industry Council (CIC) for implementing the "P" and "N" Caring Programme. It refers to and summarises the experience of main contractors in implementing the caring programme, and consolidates all relevant information and issues to be addressed by the construction industry in formulating and evaluating the caring programme, in order to encourage more main contractors to implement this programme.

2. Definitions

Main Contractor(s)

in relation to construction work, means any person or firm engaged in carrying out construction work by way of trade or business, either on his own account or pursuant to a contract or arrangement entered into with another person, including the private sector. the Government of the Hong Kong Special Administrative Region or any public body. This includes the registered contractor appointed for a private sector project.

Subcontractor(s)

- (a) any party who enters into a contract with a Main Contractor to perform all or any part of the work which the Main Contractor has undertaken to perform; or
- (b) any other person who enters into a contract to perform all or any part of the work which a Subcontractor has undertaken to perform.

Mentee(s)

in relation to "P" and "N" Caring Programme, means any worker with less than half year of construction work experience (Probationer) or who is new to a construction site (Newcomer).

Mentor(s)

"P" "N" relation to and Caring Programme, means any skilled-worker who possesses at least five years of construction work experience of the relevant trade as suggested, or has obtained Trade Tests for Construction Craftsmen of the relevant trade, whom also adequate work possesses

experience at the construction site and is responsible for sharing knowledge and experience with new workers, i.e., mentees.

Safety Office

refers to the department responsible for formulating and implementing the "P" and "N" Caring Programme.

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3. Introduction

- 3.1. The rapid development of the construction industry in recent years with a number of major infrastructure projects coming in line denotes the growing demand for construction workers. Taking into account the risk of working at construction sites, workers who newly join the construction industry or are new to a construction site are often prone to accidents. Therefore, main contractors should provide basic safety training and arrange familiarisation with site environment for these workers.
- 3.2. Since 2012, the Occupational Safety and Health Council (OSHC) and the construction industry have been actively implementing the "P" and "N" Caring Programme with an aim to protect new entrants and newcomers against construction accidents. The said programme has been implemented for years with positive feedback.
- 3.3. This publication refers to the measures and experience of the construction industry in implementing the "P" and "N" Caring Programme, and collates the good practices and suggestions of various main contractors to provide guidelines for the industry and to encourage more main contractors to implement this Programme. Apart from protecting new workers against construction accidents and improving workers' safety, this arrangement also enhances efficiency and productivity, thus benefiting both employers and construction workers.

3.4. The CIC would like to acknowledge the main contractors for sharing valuable information and experience in implementing the "P" and "N" Caring Programme. Whilst the content and implementation details may be slightly different among the main contractors, this Guidelines addresses the items to be noted when implementing this Programme as identified by the CIC and the industry stakeholders. Main contractors should either formulate a Caring Programme applicable to their company or review their existing Programme based on the recommendation of this Guidelines.

4. Limitations

- 4.1. It is important to note that compliance with this publication does not of itself confer immunity from legal obligations in Hong Kong. Employers or contractors are reminded to observe and comply with statutory provisions, relevant codes of practice and other government departments' requirements so as to discharge their legal and other pertinent duties in respect of construction workers.
- 4.2. Any standards, procedures, forms or specifications stipulated in this publication are by no means exhaustive. Main contractors and subcontractors shall critically examine their applicability and suitability taking into account the actual conditions of the site and the workers, and the specific hazards of the project.

5. Formulating the "P" and "N" Caring Programme

5.1. Background and Objectives

In 2012, the Occupational Safety and Health Council (OSHC) and the construction industry have been actively implementing the "P" and "N" Caring Programme with an aim to strengthen work safety for new entrants of the construction industry and newcomers to the construction site, in order to protect them against construction accidents due to unfamiliar work or new work environment.

The implementation of major infrastructure projects has attracted many new entrants to the construction industry. Taking into account these new entrants are lacking construction work experience, unfamiliar with site operation and safety requirements, and with insufficient personal safety awareness, the number of work injury accidents involving new entrants is relatively high. While newcomers are practitioners in the construction industry with certain work experience, working in a new environment also increases the chance of accidents. Therefore, main contractors should enhance safety management of construction sites and provide safety training to improve workers' safety awareness and avoid accidents.

The objectives of the caring programme include:-

- (a) to enhance workers' safety standard through the provision of safety training;
- (b) to provide mentoring for new entrants and impart relevant work experience;
- (c) to monitor safety performance at work and enhance personal safety awareness of new entrants and newcomers; and
- (d) to assure compliance of safety performance standard through evaluation and/or assessment.

5.2. "P" Label

The "P" label refers to "Probationer", which generally implies all workers with less than half year of construction work experience. These workers are collectively known as "P" workers.

5.3. "N" Label

The "N" label refers to "Newcomer", which generally implies workers who have never been working in a particular construction site but possess construction work experience no less than the one defined by the "P" label. These workers are collectively known as "N" workers.

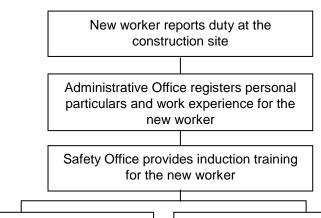
5.4. Flowchart of the Caring Programme

On the first day of reporting duty, all workers are required to declare their work experience in the construction industry upon entering the construction site. Safety Office of the main contractor shall provide safety training in relation to the site environment and the code of practice. Safety Office shall also distribute the "P" or "N" label to the workers according to their work experience in the construction industry or their work experience in that construction site, and ensure the relevant label is affixed to the prominent position of their safety helmet.

Site management staff, safety personnel, supervisor and subcontractor management staff shall strengthen the care for workers with "P" or "N" label affixed to their safety helmet, and provide them with appropriate mentoring and supervision. Onsite safety personnel shall review the training and registration record regularly to make sure the workers meet the safety requirements upon expiration of the caring period,

so that they can remove the label. Otherwise, main contractor shall extend the care period or take relevant measures subject to the actual condition.

Flowchart of the Caring Programme is proposed as below:



If the new worker has less than half year of construction work experience, he/she is required to affix a "P" label on his/her safety helmet and a mentor will be assigned

If the worker is new to that construction site but with more than half year of construction work experience, he/she is required to affix a "N" label on his/her safety helmet

Mentor shall conduct the caring programme for no less than 3 to 6 months, and observe the safety practice of the probationer and advise the site-specific hazards to get him/her familiar with the safety culture of the site. Probationer is required to participate in safety training and monthly assessment to make sure he/she can grasp and apply the safety knowledge.

Site supervisor shall pay extra attention to the safety practice of the newcomer and advise the site-specific hazards to get him/her familiar with the safety culture of the site. Newcomer is required to participate in safety training to make sure he/she can grasp and apply the safety knowledge.

After 3 to 6 months

Safety Office confirms if the probationer has established basic safety concept and is able to apply safety knowledge and familiar with the safety culture of the site before removing the "P" label

After 2 weeks to 1 month

Safety Office confirms if the newcomer is able to apply safety knowledge and familiar with the safety culture of the site before removing the "N" label

Remark:

The abovementioned period is proposed for reference only. Main contractor shall formulate their caring programme with reference to their company policy and actual situation.

5.5. Measures and Arrangement

Main contractor shall observe the measures and arrangement below when implementing the "P" and "N" Caring Programme.

- (a) Probationer and newcomer have different work experience and needs such that they are identified with the "P" and "N" labels for providing care to meet their needs.
- (b) As a "P" worker (i.e. probationer) generally has less than half year of construction work experience, main contractor shall assign a mentor (also known as supervisor) to strengthen the care for them. The proposed ratio of mentor to probationer can be 1:4. Main contractor shall provide a "P" worker with basic induction safety training, as well as safety training in relation to the site environment and the code of practice. Meanwhile, the caring period of a "P" worker shall be no less than 3 to 6 months.
- (c) As a "N" worker (i.e. newcomer of a construction site) generally possesses some knowledge of the construction industry, main contractor shall specifically strengthen the safety training in relation to that site environment and the code of practice. The caring period of a "N" worker shall be no less than 2 weeks to 1 month.
- (d) Before taking the "Safety Induction Training in Construction Site", a worker must declare his/her construction work experience for identification purposes. Upon completing safety training, the worker will be given a "P" or "N" label with issue date, name and phone number of the mentor (applicable to "P" worker only) for affixing to the prominent position of the safety helmet.

- (e) During the caring period, safety personnel of the main contractor, site supervisor and person-in-charge of the subcontractor will be responsible for assessing the new worker, and the "P" worker will also be assessed by their mentor.
- (f) Upon expiration of the caring period, all new workers are required to meet the person-in-charge of the construction site and their mentor for assessment. The assessment includes safety knowledge, safety performance, safety awareness and safety behavior. The assessment will take the following safety items into consideration, including:
 - i. if the worker uses appropriate personal protective equipment (PPE) at work;
 - ii. if the worker complies with relevant safety regulations at work; and
 - iii. if the worker has done any acts which endanger himself/herself or others.
- (g) If a worker has not violated any of the abovementioned safety items during the caring period, the "P" or "N" label can be removed by the safety personnel of the main contractor on the expiry date of the caring period.
- (h) If a worker has violated any of the abovementioned safety items for three times or more during the caring period, that worker has to attend safety training to enhance his/her safety awareness, and his/her care period will be extended for 7 working days to 2 weeks (applicable to "N" worker) or for 1 month to 3 months (applicable to "P" worker).

(i) If a "P" or "N" worker has not violated any of the abovementioned safety items during the extension period, the "P" or "N" label can be removed by the safety personnel of the main contractor on the expiry date of the caring period. Otherwise, main contractor shall consider taking appropriate actions such as strengthening safety training and supervision, and closely monitor safety performance of that particular worker.

5.6. Mentor Requirements

The requirements for mentor during the caring period are as follow:-

- (a) Mentor is preferably also the direct supervisor of the "P" worker.
- (b) Each mentor is proposed to be responsible for 4 "P" workers and the caring period shall be no less than 3 to 6 months.
- (c) Each mentor is proposed to sign an appointment letter and the attached Form 1 is a sample for reference.
- (d) Mentor shall provide "P" worker with basic induction safety training, assign appropriate work tasks and training, introduce work-related hazards and risks, assess work appropriateness, review progress and performance of workers, offer advice and care, and conduct assessment upon expiry of the caring period.
- (e) When assigning work tasks to "P" worker, mentor shall provide clear instructions including construction methods, how to make use of various equipments and basic inspection methods, potential risks of the work and precautionary measures, etc., as well as guide workers to

get familiarise with the work environment and encourage them to raise questions for any uncertain situation.

(f) Mentor shall provide the "P" worker with safety training in relation to the site environment and the code of practice.

5.7. Mentee Requirements

The requirements for mentee during the caring period are as follow:-

- (a) Workers shall affix the "P" or "N" label to their safety helmet.
- (b) "P" worker shall participate in basic safety training and follow instructions of and maintain good communication with his/her mentor.
- (c) "P" and "N" workers shall participate in the safety training in relation to the site environment and the code of practice.

5.8. Training Content and Materials

On the day of reporting duty, all new workers must attend the "Safety Induction Training in Construction Site". The content includes fundamentals of construction site safety, method statements, and the safety issues to be addressed in construction site.

- (a) The outline of the "Safety Induction Training in Construction Site" may include:-Safety and environmental policy
- (b) General responsibilities of employer and employee
- (c) Major workflow/ potential risks
- (d) General/ construction site safety regulation

- (e) "Code of Practice related to Working on Construction Sites" brochure
- (f) Welfare facilities
- (g) Personal protection
- (h) Emergency procedures
- (i) First aid facilities/ accident reporting

Apart from the above, main contractor shall also consider arranging onsite tour and training for new workers on a regular basis, including:-

- (a) Conduct onsite training at various points of the construction site
- (b) Introduce potential risks of the workplace
- (c) Introduce potential risks incurring from work process
- (d) Make appropriate use of tools and equipments
- (e) Make good use of the passage and store materials in the right place
- (f) Introduce various notices and requirements on safety, health and environment in the construction site
- (g) Introduce and make good use of various facilities, such as storage area, garbage bin, toilet, drinking water and rest areas, etc.

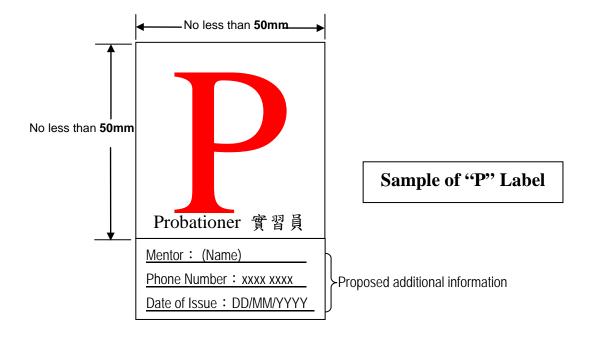
Main contractor shall arrange trade-related safety training according to the work category of workers. The attached Form 2 is a sample of safety training attendance record for ease reference.

5.9. Identification Stickers and Related Documentations

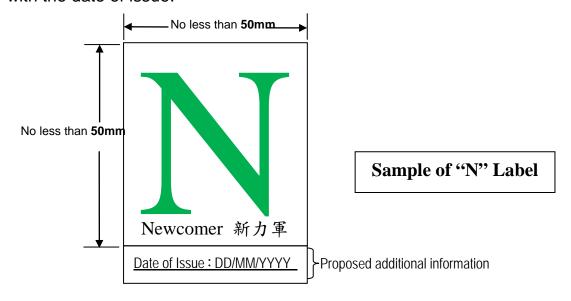
5.9.1 Identification Stickers

Main contractor shall provide "P" or "N" sticker for the new workers, of which the size must be no less than 50 mm x 50 mm. Workers are required to affix the label to the prominent position on the safety helmet.

The sticker for a "P" worker is a red "P" on white background, with the name and phone number of the mentor and the date of issue.



The sticker for a "N" worker is a green "N" on a white background with the date of issue.



5.9.2 Related Documentations

This section provides the sample of "Appointment Letter for Mentor" and "Safety Training Attendance Record" for reference.

Form 1									
Appointment Letter for Mentor									
Name of t	the site:		Code	:					
Name of	Name of Mentor:								
New Wor	hereby appoint y ker (Probatione) cting the following	r) of the constr							
	mentor is respor		•						
2. Do no avoid mech	aring period shall ot assign "P" work I assigning high r nanical equipmen risk chemicals;	kers to work al isk work which	one in the o	construction perating da	n site and angerous				
3. Do k	eep an eye on "P ection properly, fol								
beha	vior which will en	danger thems	elves or oth	ners;	·				
	rovide informatior nencing work;	i, instruction a	ind training	every day i	Jeiore				
	tor the implement eir safety perform		ers during w	ork and tak	e photos				
	workers from wo		and report	t to the sup	erior,				
	supervisor, chief is found.	supervisor or	Safety Office	e once any	unsafe				
•	ve any queries ab ne Safety Office o			works, plea	se				
A	ppointed Mentor		Site Re	epresentativ	 /e				
yea		day	year	month	day				
Remark: Th	ne appointed mentor	shall be a skilled	l-worker who _l	possesses at	least five				
	nstruction work expe		•						
obtained Tr	ade Tests for Constr	uction Craftsmer	n of the releva	ınt trade.					

Form 2 安全訓練出席記錄 **Safety Training Attendance Record** 入職安全訓練 Induction Safety Training 特別安全訓練 Specific Safety Training: _____ П 安全講座 Safety Forum: 日期 Date: ______ 時間(由) Time (From): _____(至)(To): _____ 地盤名稱 Site:_____ 訓練員姓名 Trainer's Name: ______ 職位 Designation: _____ 受訓員工記錄/Name of Trainee 建造業工作 簽名 評估(<//x) 經驗 Signature Evaluation 姓名 平安咭號碼 公司 職位 Name MBST Company Position 目 Cert. No. Construction No Working Experience 1. 2. 3. 4. 5. 6. 7. 8. 9. 10. 分發 Distributed □ 訓練教材 Training Materials □ 其它 (Other) _____ □ 安全政策條文 Safety Policy

示範 Demonstration ☐ _____

5.10. Performance Review and Follow Up Work

Mentor shall conduct performance review and complete the assessment report according to the performance of the "P" worker.

The suggested criteria for performance review may include:

(a) Safety Performance

The worker is involved in accidents or near-miss cases, if any, during the caring period.

(b) Safety Awareness

The worker can actively discover hazards and remind co-workers of hazards and risks, if any, during the caring period.

(c) Safety Knowledge

The worker is aware of basic safety knowledge such as using appropriate tools to perform work.

(d) Safety Behavior

The worker has performed any dangerous acts such as not using personal protection.

Sample assessment forms are shown in the attached Form 3 to Form 6 below for reference.

Sample 1 (Assessment Record for "P" Workers):

I	Form 3 Assessment Record for "P" Workers								
	Name of Worker Sub- contractor	Date of Entry	Name of Mentor	Estimated Completion Date (3 months from date of entry)	Assessment*	Reason of Extension/ Estimated Completion Date (less than 6 months from date of entry)	Assessment* (During Extension Period)		
1					Mentor's Signature Pass / Extend Caring Period		Mentor's Signature Pass / Fail		
2					Mentor's Signature Pass / Extend Caring Period		Mentor's Signature Pass / Fail		
3					Mentor's Signature Pass / Extend Caring Period		Mentor's Signature Pass / Fail		
4	o be assesse	al less teach			Mentor's Signature Pass / Extend Caring Period		Mentor's Signature Pass / Fail		

Sample 2 (Assessment Record for "N" Workers):

F	Form 4		Asses	ssment	Record	for "N" W	orkers	
	Name o	of	Date of	Name of	Estimated	Assessment*	Reason of	Assessment*
	Worke	r	Entry	Safety	Completion		Extension/	(During
				Personnel	Date		Estimated	Extension
	Sub-				(2 weeks		Completion	Period)
	contract	or			from date		Date	
	()			of entry)		(less than 1	
							month from	
							date of entry)	
1								
						Pass / Extend		
						Care Period		Pass / Fail
2						Pass / Extend		
						Care Period		Pass / Fail
						Care Period		Pass / Pall
3						Pass / Extend		
						Care Period		Pass / Fail
						Caro i cinca		1 400 / 1 4.11
4						Pass / Extend		
						Care Period		Pass / Fail
_								
5						Pass / Extend		
						Care Period		Pass / Fail
* To be assessed by safety personnel of main contractor								

Sample 3 (Assessment Form for "P" & "N" Caring Programme):

Form 5		Assassm	ont Form				
Assessment Form for P & N Caring Programme							
Name of	Worker:		Company Name:				
Date:							
There are	e five qu	estions below. Plea	se circle the ans	swer (A/B/C).			
A.) A B.) A	•	•	ed the work envir	onment here?			
A.) S. B.) Q	ou satisfie atisfied uite satis ot satisfie		ttitude of the worl	ker?			
(3) Do you A.) Yo B.) N	es	ne worker understand	I the job-related ri	sks?			
` '		r attended the four sp					
l		on of removing piles uries and emergency issu					
A.) Ye B.) N	es	ines and emergency issu	23 4. Handiing Of IIIC	(Example)			
`´ behav	ior or acc	r received verbal or v cident within this mor se specify the unsafe	nth? (to be comple	eted by Safety Office)			
B.) N	0						
Name of Assessor	:		Pass □	Fail □			
Signature * Assessor		fety personnel of main co	ontractor or person-ir	n-charge of subcontractor			

Sample 4 (Assessment Form for "P" & "N" Caring Programme):

Form 6		Assassm	ont Form							
Assessment Form for P & N Caring Programme										
Name of Worker: Company:										
Name of Mentor:										
Date of Entry:										
Date of										
Assessme	ent:									
Assessme	ent Item:	Excellent	Good	Pass	Poor					
Safety I	Performand	e 🗆								
Safety /	Awareness									
Safety I	Knowledge									
Safety I	Behavior									
Other Cor	mments:									
	_									
Overall Grade: Pass □ Fail □										
Worker's			Mentor's							
Signature	:		Signature:							
Date:			Date:							

6. Experience Sharing

Prior to implementing the "P" and "N" Caring Programme, main contractor shall consider the following issues which may be encountered, and estimate the provision of relevant company resources.

6.1. Relevant Administrative Tasks

The caring programme may bring some administrative tasks such as issuing labels, assigning mentors, reviewing and assessing the new workers.

6.2. Arrangement and Training for Mentors

Before implementing the caring programme, Safety Office shall explain the arrangement to related colleagues. While implementing, Safety Office shall maintain close contact with representatives from construction team and subcontractors to ensure sufficient number of mentors at the construction site. Safety Office shall also provide appropriate training and support to mentors, e.g., mentoring, monitoring and assessment skills, such that mentors are able to transfer safety knowledge effectively.

6.3. Arrangement for Mentees

Before implementing the caring programme, main contractor shall formulate relevant safety training programme. While implementing, main contractor shall avoid labeling effect so that new workers may be negative with the arrangement. Safety Office shall also monitor the mobility of the workers as some workers may work at the construction site for a few days only.

6.4. **FAQs**

When implementing the "P" and "N" Caring Programme, some frequently asked questions include:

- 1. Shall main contractor include the caring programme of subcontractor into the safety audit system?
- A: The caring programme of subcontractor shall be treated as part of the safety management system, and shall be reviewed according to related section in the safety proposal and contract requirements.
- 2. If the subcontractor has assigned a "P" worker to work alone without appropriate instructions, shall we stop that worker from working?
- A: You should report to the project-in-charge or consult the onsite safety personnel.
- 3. Suppose a worker does not work continuously at the same site, say if he works at the site for one week, and works for another week at the same site again two weeks later, how shall we determine the care period?
- A: The care period only considers the work period at the same site, that is, two weeks for the abovementioned situation.
- 4. Under the registration system for construction workers, some trades have no trade tests for skilled-workers/ semi-skilled workers. For these trades, how do we assign mentors?
- A: Subcontractor may select workers with five years of experience of the relevant trades as mentors, or discuss with the project-in-charge for other solutions.

Reference Materials:

Annex A



建造業關顧新人工作安全計劃

計劃背景

近年建造業發展蓬勃,工地工人由2009年的51,000多人上升至2011年第四季超過69,000人,其中包括不少新入行的工人。就最近一次工務工程合約的抽樣分析,顯示約25%意外個案涉及工人在有關工地工作只有一個月或以下。

計劃目的

加強關顧建造業新入行及新到工地的工人,有助減少意外的發生。

關顧行動

新入行的工人

- 承建商應以「P」標誌(即實習員)識別新入行的工人。
- 承建商應安排指導員關顧新人,提點工人注意工作安全,每 名指導員最多照顧4名新人,關顧期應不少於3個月。
- 承建商應提供基本安全訓練及熟習工地環境的安排。

新到工地的工人

- 若新到工地的工人已具有建造業經驗,承建商應以「N」標誌(即生力軍)作識別。
- ■「N」標誌的展示期應不少於兩個星期。
- 承建商應提供熟習工地環境的安排。

建造業關顧新人工作安全計劃

識別方式

- 標誌應貼在安全帽上
- 標誌面積應不少於50mm (長) x 50mm (高)
- 可在標誌下方加上指導員的姓名及聯絡電話





註:如已使用「P」標誌識別新入行的工人,則毋需同時使用「N」標誌。

查詢

職業安全健康局

冼惠珊小姐

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Annex A



Other Reference Materials:

 Occupational Safety & Health Council . Programme for Caring of New Construction Workers(Experience Sharing). www.oshc.org.hk/others/bookshelf/CB1359C.pdf (Only Provided in Chinese)

Acknowledgement

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Feedback Form [Guidelines on the Implementation of "P" and "N" Caring Programme]

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